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51576 7590 12/05/2006

**EMC CORPORATION**  
 c/o DALY, CROWLEY, MOFFORD & DURKEE, LLP  
 354ATURNPIKE STREET  
 SUITE 301A  
 CANTON, MA 02021-2714

02/22/2007 RFEKADUZ 00000001 09909025  
 04 FC:1504  
 03 FC:1504  
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|                     |                    |
|---------------------|--------------------|
| Trish McHugh        | (Depositor's name) |
| <i>Trish McHugh</i> | (Signature)        |
| 2-13-07             | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/909,025      | 07/19/2001  | Neil F. Schutzman    | EMC-011PUS          | 9106             |

TITLE OF INVENTION: ATTRIBUTE BASED RESOURCE ALLOCATION

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1400        | \$300               | \$0                  | \$1700           | 03/05/2007 |

| EXAMINER     | ART UNIT | CLASS-SUBCLASS |
|--------------|----------|----------------|
| WONG, LESLIE | 2164     | 707-104100     |

|  |   |
|--|---|
| 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).   | 2. For printing on the patent front page, list <b>Daly, Crowley, Mofford &amp; Durkee, LLP</b><br>(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,<br>(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. |
| <input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  | 1 _____   |
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|  | 3 _____   |

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(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**EMC Corporation**

**Hopkinton, Massachusetts**

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

4a. The following fee(s) are submitted:

- Issue Fee  
 Publication Fee (No small entity discount permitted)  
 Advance Order - # of Copies Ten (10)

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 The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-0845 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

*Paul D. Durkee*

Date

*13 Feb 07*

Typed or printed name

**Paul D. Durkee**

Registration No.

**41,003**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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## TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

|  |                      |                        |            |
|--|----------------------|------------------------|------------|
|  | Application Number   | 09/909,025             |            |
|  | Filing Date          | July 19, 2001          |            |
|  | First Named Inventor | Neil F. Schutzman      |            |
|  | Art Unit             | 2164                   |            |
|  | Examiner Name        | Leslie Wong            |            |
| Total Number of Pages in This Submission | 2                    | Attorney Docket Number | EMC-011PUS |

### ENCLOSURES *(Check all that apply)*

|  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>PTOL-85 Form<br>Return Postcard |
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|              |                                      |                          |
|--------------|--------------------------------------|--------------------------|
| Firm Name    | Daly, Crowley, Mofford & Durkee, LLP | USPTO Customer No. 51576 |
| Signature    |                                      |                          |
| Printed name | Paul D. Durkee                       |                          |
| Date         | 13 Feb 07                            | Reg. No. 41,003          |

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

|                       |              |              |
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| Signature             |              |              |
| Typed or printed name | Trish McHugh | Date 2-13-07 |

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